



## UNITED STATES PATENT AND TRADEMARK OFFICE

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IFW

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/691,476	10/23/2003	Jea-Woan Lee	1567.1059

21171  
STAAS & HALSEY LLP  
SUITE 700  
1201 NEW YORK AVENUE, N.W.  
WASHINGTON, DC 20005

07/23/2004 EABUBAK1 00000080 10691476

02 FC:1001 770.00 OP  
03 FC:1201 344.00 OP  
04 FC:1202 144.00 OP  
05 FC:1051 130.00 OP



CONFIRMATION NO. 5031

## FORMALITIES LETTER



\*OC000000013037743\*

Date Mailed: 06/23/2004

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$488 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

Adjustment date: 07/26/2004 EABUBAK1  
07/23/2004 EABUBAK1 00000080 10691476  
05 FC:1051 -130.00 OP

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1258** for a Large Entity

- **\$770** Statutory basic filing fee.
- Total additional claim fee(s) for this application is **\$488**
  - **\$344** for 4 independent claims over 3.
  - **\$144** for 8 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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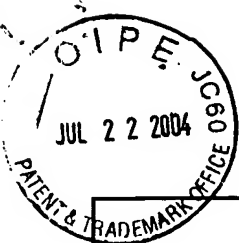
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



S&amp;H Form: PTO/SB/17 (10/03)

<b>RESPONSE TO NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION</b>	Attorney Docket No.	1567.1059																						
	Application Number	10/691,476																						
	Filing Date	October 23, 2003																						
	First Named Inventor	Jea-Woan LEE																						
	Group Art Unit																							
Title:	NEGATIVE ELECTRODE FOR LITHIUM BATTERY AND LITHIUM BATTERY COMPRISING SAME																							
<p>Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed <u>June 23, 2004</u> for the above-identified application, enclosed are the following:</p> <p><input checked="" type="checkbox"/> RETURN COPY of Notice to File Missing Parts of Nonprovisional Application</p> <p><input checked="" type="checkbox"/> Executed Combined Declaration/Power of Attorney executed by the inventor(s) for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor(s) executed by signing the attached Declaration.</p> <p><input checked="" type="checkbox"/> Specification, Claims and Abstract (18 pages), Formal Drawings (1 Sheet; Fig. 1)</p> <p><input type="checkbox"/> English-language translation of application (with Translator's Statement (pages __))</p> <p><input type="checkbox"/> Verified Statement Claiming Small Entity Status</p> <p>Enclosed is a payment of the following:</p> <table><tr><td><input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)</td><td>\$ 770.00</td></tr><tr><td><input checked="" type="checkbox"/> The additional claim(s) fee (8 claims over 20)</td><td>\$ 144.00</td></tr><tr><td><input checked="" type="checkbox"/> The additional independent claim(s) fee (4 claims over 3)</td><td>\$ 344.00</td></tr><tr><td><input checked="" type="checkbox"/> Recordation of Assignment (\$40.00; 37 C.F.R. § 1.21(h))</td><td>\$ 40.00</td></tr><tr><td><input type="checkbox"/> Petition for Extension of Time ( __ -month)</td><td>\$</td></tr><tr><td><input type="checkbox"/> English language translation fee</td><td>\$</td></tr><tr><td><input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.17(h)</td><td>\$130.00</td></tr><tr><td><b>SUBTOTAL FEES:</b></td><td><b>\$1428.00</b></td></tr><tr><td><input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)</td><td>.00</td></tr><tr><td><b>SUBTOTAL FEES:</b></td><td><b>\$1428.00</b></td></tr><tr><td><b>TOTAL FEES DUE:</b></td><td><b>\$1428.00</b></td></tr></table>			<input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$ 770.00	<input checked="" type="checkbox"/> The additional claim(s) fee (8 claims over 20)	\$ 144.00	<input checked="" type="checkbox"/> The additional independent claim(s) fee (4 claims over 3)	\$ 344.00	<input checked="" type="checkbox"/> Recordation of Assignment (\$40.00; 37 C.F.R. § 1.21(h))	\$ 40.00	<input type="checkbox"/> Petition for Extension of Time ( __ -month)	\$	<input type="checkbox"/> English language translation fee	\$	<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.17(h)	\$130.00	<b>SUBTOTAL FEES:</b>	<b>\$1428.00</b>	<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	.00	<b>SUBTOTAL FEES:</b>	<b>\$1428.00</b>	<b>TOTAL FEES DUE:</b>	<b>\$1428.00</b>
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Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of \_\_, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$420)); (3 months (\$950)); (4 months (\$1,480)); (5 months (\$2,010)):

#### METHOD OF PAYMENT

- ☒ Check enclosed as payment.  
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.


#### AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

**SUBMITTED BY: STAAS & HALSEY LLP**

Typed Name	Michael D. Stein	Reg. No.	37,240
Signature		Date	July 22, 2004